

Neel Chatterjee (SBN 173985)  
*nchatterjee@goodwinlaw.com*

James Lin (SBN 310440)  
*jlin@goodwinlaw.com*

**GOODWIN PROCTER LLP**  
 135 Commonwealth Drive  
 Menlo Park, California 94025  
 Tel.: +1 650 752 3100  
 Fax.: +1 650 853 1038

Brett Schuman (SBN 189247)  
*bschuman@goodwinlaw.com*

Shane Brun (SBN 179079)  
*sbrun@goodwinlaw.com*

Rachel M. Walsh (SBN 250568)  
*rwalsh@goodwinlaw.com*

Hayes P. Hyde (SBN 308031)  
*hhyde@goodwinlaw.com*

**GOODWIN PROCTER LLP**  
 Three Embarcadero Center  
 San Francisco, California 94111  
 Tel.: +1 415 733 6000  
 Fax.: +1 415 677 9041

Hong-An Vu (SBN 266268)  
*hvu@goodwinlaw.com*

Todd A. Boock (SBN 181933)  
*tboock@goodwinlaw.com*

**GOODWIN PROCTER LLP**  
 601 S. Figueroa Street, 41st Floor  
 Los Angeles, California 90017  
 Tel.: +1 213 426 2500  
 Fax.: +1 213 623 1673

*Attorneys for Defendant: Otto Trucking LLC*

**UNITED STATES DISTRICT COURT  
 NORTHERN DISTRICT OF CALIFORNIA  
 SAN FRANCISCO DIVISION**

WAYMO LLC,

Plaintiff,

v.

UBER TECHNOLOGIES, INC.;  
 OTTOMOTTO LLC; OTTO TRUCKING  
 LLC,

Defendants.

Case No. 3:17-cv-00939-WHA

**OTTO TRUCKING'S OPPOSITION TO  
 WAYMO'S SECOND SUPPLEMENTAL  
 BRIEF IN SUPPORT OF ITS MOTION  
 FOR ORDER TO SHOW CAUSE [DKT.  
 2054]**

Courtroom: 8-19<sup>th</sup> Floor  
 Judge: Hon. William H. Alsup  
 Trial: October 10, 2017

[Redacted Version of Document Sought to be Sealed]

**I. INTRODUCTION**

At some point, Waymo's repeated attempts to seek contempt and adverse inferences need to come to an end. The Court has repeatedly rejected Waymo's efforts in this regard. Nevertheless, Waymo seeks to rehash the same arguments another time. Like all the other times, the Court should deny Waymo's motion.

This time, Waymo contends that Otto Trucking should be held in contempt and have an adverse inference issued against it for failing to take action required by the PI Order with respect to two personal laptops owned by Anthony Levandowski that were (i) never in Otto Trucking's possession, (ii) Otto Trucking had no reason to know existed, and (iii) did not have any Waymo alleged trade secrets on them.

In response to Uber's request for these two computers, Mr. Levandowski asserted his Fifth Amendment rights over them. As a compromise, these laptops were provided to Mr. Levandowski's personal counsel to run search terms at Uber's request. The search terms included the file names and hash values for the 14,000 files as well as search terms designed by Uber and Waymo. The results of the searches have been made available to Waymo long ago, but despite claiming a violation of the Court's PI Order, Waymo has not identified any files from the search results that contain Waymo information that would have brought it within the purview of the PI Order.

Otto Trucking was never in possession of either of the computers and was not involved in any way in the searches of the laptops. Otto Trucking has not engaged in any misconduct with respect to the laptops and is fully in compliance with the Court's PI Order. The Court has already recognized that Mr. Levandowski's refusal to produce documents under the Fifth Amendment was proper. *See* Dkt. 802 (order denying Waymo's motion to compel following in camera review of log of materials subject to Fifth Amendment rights) Waymo cannot circumvent Mr. Levandowski's assertion without a showing that Otto Trucking was a custodian of the devices. It has not done so.

**II. RELEVANT FACTS**

On April 20, 2017, Angela Padilla, Uber's head of litigation, sent a letter to Anthony

1 Levandowski demanding that he provide to Uber two of his personal laptops. Dkt. 2018-3 at ¶2.  
 2 Neel Chatterjee, counsel for Mr. Levandowski in his personal capacity in the copending  
 3 arbitration, informed Uber that Mr. Levandowski has asserted his Fifth Amendment rights and  
 4 would not provide to Uber the requested laptops. *Id.*; *see also*, Dkt. 2018-1 at ¶6. However, Mr.  
 5 Levandowski agreed to have his personal counsel, Goodwin, run searches provided by Uber on the  
 6 personal computers and provide the results of those searches to Uber. Dkt. 2018-3 at ¶2. Uber  
 7 provided to Goodwin the search terms to be used: 14,000 plus hash values and over 4,000 unique  
 8 filenames, which Waymo identified in its preliminary injunction papers, and Uber and Waymo  
 9 search terms. *Id.*; *see also* Declaration of Rachel Walsh at ¶4.

10 Goodwin, as Mr. Levandowski's personal counsel, ran the requested searches and also  
 11 broader searches to try to find additional documents that related to Uber. Dkt. 2018-1 at ¶6;  
 12 Walsh Decl. at ¶5. The search for the hash values of the 14,000 files did not yield any of the  
 13 14,000 files. Walsh Decl. at ¶6. The search for the file names themselves did not yield any of the  
 14 14,000 files, either, and only hit on generic filenames. *Id.* at ¶7. Goodwin reviewed and provided  
 15 its search results to Uber. Dkt. 2018-1 at ¶6. Otto Trucking was never in possession of the  
 16 laptops or had any involvement in the search of these laptops. However, Otto Trucking  
 17 understands based on the declarations submitted by Sylvia Rivera that Uber produced seven files  
 18 from the search results provided by Mr. Levandowski in this litigation. *See* Dkt. 2020 at ¶¶1-2.

19 On September 7, 2017, Uber served an expert report from a forensic expert, Kevin  
 20 Faulkner, which made available all of the files that Mr. Levandowski provided to Uber through his  
 21 counsel. *See* Walsh Decl., Ex. A at p. 5 [REDACTED]  
 22 [REDACTED]).

23 Waymo's forensic expert, Paul French, while aware of the documents made available for  
 24 inspection, did not request to inspect the search results and did not know if counsel for Waymo  
 25 inspected them. *See* Walsh Decl. at Ex. D. Based on a review of search results from Uber's  
 26 various searches, including the search results provided by Mr. Levandowski, Mr. Faulkner

27 concluded that [REDACTED]  
 28 [REDACTED]

*Id.* at, Ex. B at 23.

On October 23, 2017, Waymo filed a motion to amend its trade secret list. Nowhere in its motion or supporting materials did Waymo cite to or rely on any of the seven files that were produced by Uber from Mr. Levandowski's personal laptops. *See* Dkt. 2062. Waymo also did not cite to any files that were provided by Mr. Levandowski and made available with the Faulkner report. *See id.*

On October 23, Waymo also served its Second Supplemental Brief in support of its motion for an OSC re Contempt. *See* Dkt. 2054. Here, again, Waymo does not state that any of the files produced by Uber from the Levandowski personal laptops or provided by Mr. Levandowski from the searches his counsel conducted of those laptops contain any alleged trade secrets or Waymo downloaded materials. *See id.* Instead, Waymo seeks to hold Otto Trucking in contempt for failing to disclose the existence of the laptops that Otto Trucking never had in its possession and that did not contain any Waymo downloaded information.

### **III. THE COURT ONLY ORDERED AN ACCOUNTING OF DOWNLOADED MATERIALS.**

In March 2017, Waymo moved for a preliminary injunction based on Mr. Levandowski's downloading of 14,000 files during his employment at Google. In granting in part and denying in part Waymo's motion for a preliminary injunction, the Court ordered that Defendants take certain actions with respect to "downloaded materials," which was defined as "any and all materials that Anthony Levandowski downloaded from Waymo and kept upon leaving Waymo's employment." These actions included:

- Preventing Mr. Levandowski and others affiliated with defendants from consulting, copying, or otherwise using the downloaded materials;
- Returning any downloaded materials other than a copy for use by litigation counsel; and
- Providing an accounting of anyone who has seen or heard any part of the downloaded materials.

PI Order at p. 24-25.

In response to this Order, Otto Trucking disclosed that Otto Trucking does not develop, make or research LiDAR. *See id.* at 714, 1265. However, in an abundance of caution, Otto

Trucking also informed Mr. Levandowski that he was not to consult, copy, or otherwise use any downloaded materials in any way. *See id.* Otto Trucking's accounting also stated that since the filing of the lawsuit, Mr. Levandowski had not been involved in the day-to-day management of Otto Trucking. *See id.* Otto Trucking further informed the Court that its officers, Lior Ron, Adam Bentley, and Rhian Morgan, in their capacity as Otto Trucking officers, had not seen or heard any of the downloaded materials and that Mr. Ron, Mr. Bentley, and Mr. Levandowski had only received the Stroz report without attachments. *See id.* No additional information relating to the downloaded materials was known to Otto Trucking, but it referred the Court to Uber's accounting as a source of additional information. Waymo has offered no language in the Court's order that supports their current argument. In fact, Otto Trucking fully complied with the Court's order.

Otto Trucking disclosed all information relating to the downloaded materials in its knowledge as required by the PI Order in its original accounting and supplemental accounting. *See* Dkts. 714, 1265 (providing additional detail and clarification). In sending a letter to Mr. Levandowski care of his criminal counsel, Otto Trucking exercised its power to try to secure Mr. Levandowski's cooperation. *See id.* Waymo has twice before tried to argue that Otto Trucking should have done more, including demanding that Mr. Levandowski's counsel provide documents and information notwithstanding his assertion of the Fifth Amendment. Dkts. 676; 847.<sup>1</sup> The Court has rejected that argument in both motions and declined to hold Otto Trucking in contempt. The Court should similarly reject Waymo's third attempt to hold Otto Trucking in contempt.

#### **IV. OTTO TRUCKING NEVER HAD POSSESSION OR CUSTODY OF MR. LEVANDOWSKI'S PERSONAL LAPTOPS.**

Waymo contends that Otto Trucking should be held in contempt for failing to adequately search the laptops, for not "returning" the laptops to Waymo or the Court, and for not disclosing Otto Trucking's efforts to obtain the laptops from Mr. Levandowski or his counsel. *See* Dkt. 2054 at 11-13. Waymo cites no language in the Court's order that requires such activity from Otto

<sup>1</sup> Waymo filed another supplemental contempt brief, Dkt. 1494, on September 7. Though Otto Trucking responded and the Court has since held a number of hearings, the Court has not held any defendant.

1 Trucking.

2 Most fundamentally, Otto Trucking never had possession of Mr. Levandowski's personal  
3 laptops. *See* Dkt. 2019. That Goodwin received the laptops from Mr. Levandowski as his  
4 personal counsel in the arbitration does not change what Otto Trucking knew or did not know. As  
5 Judge Corley has already found, any argument by Waymo that Otto Trucking "must know" what  
6 Mr. Levandowski knows is "disingenuous." Dkt. 881.

7 Waymo appears to argue that Otto Trucking could have forced Mr. Levandowski to  
8 produce his laptops. It points to no language in Otto Trucking's operating agreement to support  
9 such an assertion. Therefore, Otto Trucking's counsel, Goodwin, could not force Mr.  
10 Levandowski to produce them either.

11 The Court should reject Waymo's attempt to conflate Goodwin's representation of Otto  
12 Trucking in this litigation with its representation of Mr. Levandowski personally in the co-pending  
13 arbitration. As Mr. Levandowski's personal counsel in that arbitration, Goodwin could not produce  
14 any of Mr. Levandowski's personal materials over which he has asserted the Fifth Amendment  
15 privilege. *See United States v. Sideman & Bancroft, LLP*, 704 F.3d 1197, 1201–02 (9th Cir. 2013)  
16 (noting that the Fifth Amendment protection against the compelled disclosure of records "extends  
17 to prevent an individual's attorney from being compelled to produce documents if that production  
18 would violate the individual's Fifth Amendment rights"); *see also Goldsmith v. Superior Court*,  
19 152 Cal. App. 3d 76, 86–89 (1984) (vacating discovery order requiring defendant and attorney to  
20 produce incriminating weapon for inspection in response to discovery request); Cal. Bus. & Prof.  
21 Code § 6068(e) (stating it is the duty of every lawyer to "maintain inviolate the confidence, and at  
22 every peril to himself or herself to preserve the secrets, of his or her client"). The law is clear,  
23 "where an individual transfers documents to his or her attorneys to obtain legal assistance . . . ,  
24 those documents, 'if unobtainable by summons from the client, are unobtainable by summons  
25 directed to the attorney by reason of the attorney-client privilege.' Accordingly, [the attorney]  
26 does not have to produce the . . . records if doing so violates [the individual]'s Fifth Amendment  
27 rights." *Fisher v. United States*, 425 U.S. 391, 405 (1976). As Mr. Levandowski's counsel in the  
28 arbitration matter, Goodwin must protect Mr. Levandowski's Fifth Amendment rights and cannot

1 be compelled to violate those rights. *See United States v. Judson*, 322 F.2d 460, 464 (9th Cir.  
 2 1963) (an attorney’s function is “to raise all the just and meritorious defenses his client has . . . .  
 3 The attorney and his client are so identical with respect to the function of the evidence and to the  
 4 proceedings which call for its production that any distinction is mere sophistry.”).

5 The temporary provision of the laptops to Goodwin, Mr. Levandowski’s personal counsel,  
 6 was a compromise to protect Mr. Levandowski’s Fifth Amendment rights, while also providing  
 7 Uber with information requested by the Court. *See* Dkt. 2018-3 at ¶2. Such conduct was entirely  
 8 appropriate as Mr. Levandowski had the right to choose a point where he was willing to cooperate  
 9 with discovery, but go no further. *See e.g., In re Seper*, 705 F.2d 1499, 1501 (9th Cir. 1983) (a  
 10 witness has considerable latitude in deciding when to stop responding to questions); *United States*  
 11 *v. Seifert*, 648 F.2d 557, 561 (9th Cir. 1980) (citing *Shendal v. United States*, 312 F.2d 564, 566  
 12 (9th Cir. 1963) (“a witness may “pick the point beyond which he will not go”); *Nursing Home*  
 13 *Pension Fund v. Oracle Corp.*, 2007 U.S. Dist. LEXIS 49851, at \*12 (N.D. Cal. June 29, 2007).  
 14 Waymo has no basis to circumvent Mr. Levandowski’s Fifth Amendment rights or hold Otto  
 15 Trucking in contempt for his assertion of those rights.

## 16 **V. THE LAPTOPS DID NOT CONTAIN DOWNLOADED MATERIALS.**

17 Waymo’s motion for contempt also ignores that the Court’s PI Order only required action  
 18 relating to any “downloaded materials.” *See* PI Order at 24-25.

19 The search terms provided by Uber contained not only search terms intended to find the  
 20 14,000 files—*i.e.* their file names and hash values—but also included broader search terms  
 21 provided by Uber and demanded by Waymo. Walsh Decl. at ¶4. The 14,000 files were not found  
 22 as a result of these searches. *Id.* at ¶¶6-7. In addition, Goodwin searched more broadly for any  
 23 materials relating to Uber work done by Mr. Levanwoski to provide to Uber’s counsel. *See id.* at  
 24 ¶5.

25 Mr. Levandowski, through his counsel at Goodwin, provided the results of these searches  
 26 to Uber’s counsel, who has made them available to Waymo. [REDACTED]

27 [REDACTED] *See* Dkt. 2019-1, Ex. B at 23.

28 Waymo deposed Uber’s forensic expert and was entitled to cross-examine him about the process



1 and results. *See* Walsh Decl. at ¶10, Ex. B.

2 Waymo has had access to the results of these searches, but has not cited to any of the  
3 documents or files from these search results in either its renewed contempt brief or in its motion to  
4 amend its trade secrets list. Indeed, Waymo did not even argue that any of the search results are  
5 Waymo downloaded materials. In fact, the searched laptops did not have any “downloaded  
6 materials” that would be subject to the PI Order.

7 **VI. ALL OF WAYMO’S OTHER ARGUMENTS TO HOLD OTTO TRUCKING IN**  
8 **CONTEMPT ARE UNSUPPORTED.**

9 Waymo also provided a list of other alleged violations of the PI Order. To the extent that  
10 any of these contentions apply to Otto Trucking, they are unsupported and should be rejected.

11 *First*, Waymo’s contentions regarding John Gardner and the “Five Disks” are not relevant  
12 to Otto Trucking and cannot provide a basis to hold Otto Trucking in contempt or to issue an  
13 adverse inference as to Otto Trucking. *See* Dkt. 2054 at 17-18, 20-21.

14 *Second*, Otto Trucking properly disclosed that none of its officers had received any  
15 downloaded materials in their capacity as officers of Otto Trucking, and that Mr. Bentley, Mr.  
16 Ron, and Mr. Levandowski had received the Stroz report without attachments. *See* Dkt. 1265 at 1-  
17 2. Otto Trucking otherwise incorporated Uber’s accounting as Otto Trucking’s officers were also  
18 Uber employees. *See id.* Waymo has not contended that Otto Trucking’s accounting is  
19 inaccurate or incomplete. *See* Dkt. 2054 at 14-17.

20 *Third*, Otto Trucking has not made any misrepresentations as to the 14,000 files. Although  
21 Waymo cites to the argument made by counsel for Otto Trucking regarding whether Mr.  
22 Levandowski had used a thumb drive to move files onto a personal laptop, Waymo ignores that  
23 Mr. Chatterjee’s arguments were based on the information provided by Mr. Brown’s declaration,  
24 which did not affirmatively state that an external device was used to take 14,000 files off Mr.  
25 Levandowski’s Google laptop. *See* Dkt. 2054 at 19. Indeed, Mr. Brown admitted at his deposition  
26 shortly after the July 26 hearing that he did not know whether Mr. Levandowski actually  
27 downloaded files to the card reader. Walsh Decl., Brown 8/8/17 30(b)(6) Dep. at 108:5-14  
28 (Waymo does not know whether any materials were downloaded to the card reader attached to the



Google issued Levandowski laptop). To the extent that Waymo now relies on additional information, primarily its 2,000 page expert report served less than 48 hours before this response is due, to suggest that the 14,000 files were downloaded to an external media, this new information does not undermine the accuracy of Otto Trucking's counsel's statements at the time they were made. In addition, the Court has not permitted Waymo to serve the expert report and use it in this litigation, nor has Otto Trucking had an opportunity to analyze and respond to the conclusions in that report. The Court should not consider Waymo's arguments based on the expert report of Andrew Crain in deciding this supplemental brief.

**VII. THE COURT SHOULD REJECT WAYMO'S DEMAND FOR AN ADVERSE INFERENCE AS TO OTTO TRUCKING.**

Based on the foregoing, there is no basis for the Court to find Otto Trucking in contempt or to issue any adverse inference. Otto Trucking is fully in compliance with the PI Order.

Furthermore, an adverse inference as to Otto Trucking would be inappropriate.

*First*, as the Court has previously held, any adverse inference based on Mr. Levandowski's assertion of his Fifth Amendment rights would only be as to Anthony Levandowski and not defendants in this action. *See* Dkt. 1535; *see also* 7/26/2017 Hr'g Tr. 98:8–99:13; 100:3-8. As Waymo's primary argument for contempt and an adverse inference is based on the personal laptops over which Mr. Levandowski has asserted the Fifth Amendment privilege (*see* Dkt 2054 at 21), the Court's prior rulings on this issue are equally applicable. In addition, as Waymo has access to all the relevant materials from those laptops based on a diligent search, no adverse inference as to Mr. Levandowski is even warranted.

*Second*, the record does not support any adverse inference based on the Levandowski personal laptops. As the Court has already found, an adverse inference must be corroborated by other evidence. *See* Dkt. 1535 (assessing after Waymo's case in chief whether the trial record "includes corroborating evidence to support the adverse inferences sought."); *see also Doe ex rel. Rudy-Glanzer v. Glanzer*, 232 F.3d 1258, 1264 (9th Cir. 2000) ("an adverse inference can be drawn when silence is countered by *independent evidence* of the fact being questioned") (emphasis in original). Again, Waymo has not identified any Waymo materials in the available

1 search results, As such, any adverse inference regarding the Levandowski laptops is unsupported  
2 by independent evidence and inappropriate.<sup>2</sup>

3 **VIII. CONCLUSION**

4 For the above-stated reasons, Otto Trucking respectfully requests that the Court deny in its  
5 entirety Waymo's request to hold Otto Trucking in contempt and to issue an adverse inference as  
6 to Otto Trucking.

7 Dated: October 25, 2017

Respectfully submitted,

8 By: /s/ Neel Chatterjee

9 Neel Chatterjee

*nchatterjee@goodwinlaw.com*

Brett Schuman

10 *bschuman@goodwinlaw.com*

Shane Brun

11 *sbrun@goodwinlaw.com*

Rachel M. Walsh

12 *rwalsh@goodwinlaw.com*

Hong-An Vu

13 *hvu@goodwinlaw.com*

Hayes P. Hyde

14 *hhyde@goodwinlaw.com*

James Lin

15 *jlin@goodwinlaw.com*

Todd A. Boock

16 *tboock@goodwinlaw.com*

**GOODWIN PROCTER LLP**

17 *Attorneys for Defendant: Otto Trucking LLC*

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<sup>2</sup> Should the Court deny the adverse inference, Waymo requests in a footnote at the end of its brief the opportunity to amend its jury instruction to include these "additional instances of misconduct." Otto Trucking opposes this request as Otto Trucking has not engaged in any misconduct and further objects to Waymo's jury instruction regarding misconduct in the first instance.

**CERTIFICATE OF SERVICE**

I hereby certify that I electronically filed the foregoing document including all of its attachments with the Clerk of the Court for the United States District Court for the Northern District of California by using the CM/ECF system on **October 25, 2017**. I further certify that all participants in the case are registered CM/ECF users and that service of the publicly filed documents will be accomplished by the CM/ECF system.

I certify under penalty of perjury that the foregoing is true and correct. Executed on **October 25, 2017**.

/s/ Neel Chatterjee  
NEEL CHATTERJEE